

Office of the Inspector General (AD0)

The mission of the Office of the Inspector General is to independently: (1) conduct and supervise audits, investigations, and inspections relating to the programs and operations of the District government departments and agencies, including independent agencies; (2) prevent and detect corruption, mismanagement, waste, fraud, and abuse in District programs and operations; and (3) provide a means of keeping the Mayor, Council, and District government department and agency heads fully and currently informed about problems and deficiencies relating to the administration of these programs and operations and the necessity for corrective actions.

Inspector General

Charles Maddox, Esq.

Proposed Operating Budget (\$ in thousands)

\$7,999

Fast Facts

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| <ul style="list-style-type: none"> The proposed FY 2001 operating budget is \$7,998,642, an increase of \$1,171,381 over the FY 2000 budget. There are 69 full-time equivalents (FTEs) supported by this budget. During FY 2000, the agency is establishing a Medicaid Fraud Unit in conjunction with the Department of Health. | <ul style="list-style-type: none"> During FY 2000, the Agency established an Inspection and Evaluation division. This division will conduct inspections and evaluations of District managers in accordance with defined performance criteria. |
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FY 2001 Proposed Budget by Control Center

The basic unit of budgetary and financial control in the District's financial management system is a control center. The Office of the Inspector General is comprised of one control center that serves as the major component of the agency's budget.

FY 2001 Proposed Budget by Control Center

(Dollars in Thousands)

Office of the Inspector General

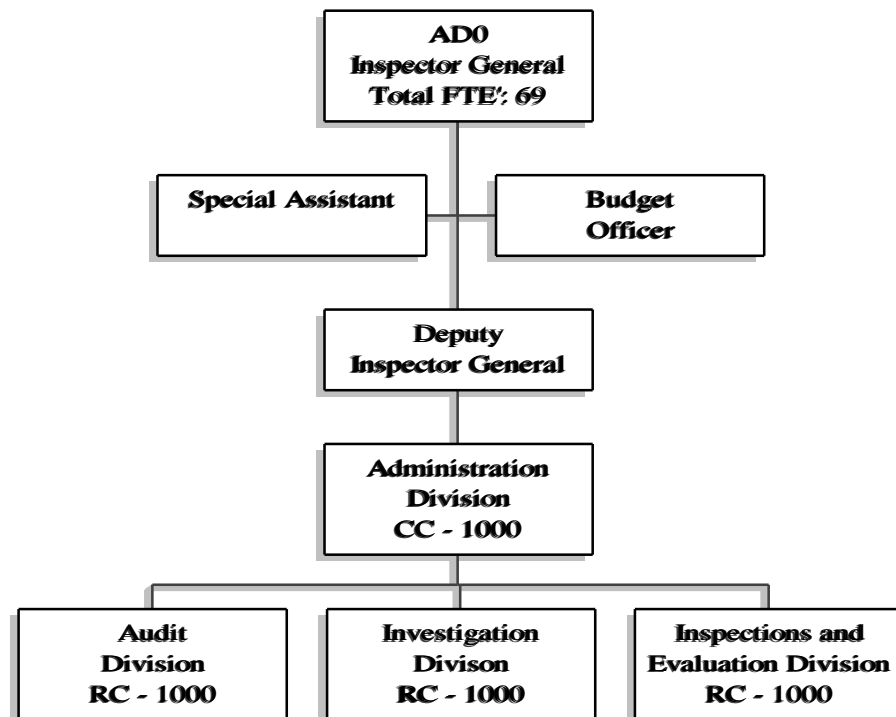
Control Center	Proposed FY 2001 Budget
0010	0
1000 GOVERNMENT SUPPORT	7,999
AD0 Office of the Inspector General	7,999

Agency Overview and Organization

The Office of the Inspector General accomplishes its mission through three divisions: the Audit Division, the Investigation Division, and the Inspections and Evaluations Division. The Audit Division is responsible for conducting audits, reviews and analyses of financial, operational, and programmatic functions. The Investigation Division is responsible for conducting investigations of fraud and other misconduct by District government employees and contractors doing business with the District of Columbia. The Inspections and Evaluations Division is responsible for conducting inspections and evaluations of District managers in accordance with defined performance criteria. Managers and programs will be evaluated and rated in terms of overall efficiency and effectiveness.

The three divisions carry out their responsibilities through five principal activities:

- Conduct audits, reviews, and analyses of financial, operational and programmatic functions carried out by the agencies and departments of the District of Columbia;
- Investigate fraud and other misconduct by District government employees and contractors conducting business with the District of Columbia; conduct management efficiency and economy reviews of planned and existing programs and operations of the District of Columbia;
- Provide oversight and monitoring services to all agencies and departments to ensure adequate internal controls for all functions and full compliance with the laws, regulations and policies of the District of Columbia;
- Conduct inspections and evaluations of District managers and programs, in support of the Office of the Inspector General's goal of motivating improvements and successes in District government management, procedures and processes that serve District residents; and
- Prosecute Medicaid fraud, recover monies lost due to false claims, and investigate patient abuse.



FY 2001 Proposed Operating Budget

The Operating Budget of the Office of the Inspector General is composed of two categories: (1) Personal Services (PS), and (2) Nonpersonal Services (NPS).

Within the PS budget category are several object classes of expenditure such as regular pay, other pay, additional gross pay, and fringe benefits. Within the NPS budget category are several object classes of expenditure such as supplies and materials, utilities, communications, rent, other services and charges, contractual services, subsidies and transfers, equipment and equipment rental, and debt service.

Authorized spending levels present the dollars and related full-time equivalents (FTE) by revenue type. Revenue types include: Local (tax and non-tax revenue not earmarked for a particular purpose); Federal (revenue provided by the federal government to support federally established programs or grants for a particular purpose); Private and Other (charitable contributions and fees from fines, etc); and Intra-District (payments for services provided by one District agency to another District agency).

FY 2001 Proposed Operating Budget

(Dollars in Thousands)

Office of the Inspector General

Office of the Inspector General

Object Class	FY 1999 Unaudited	Budget FY 2000	Proposed FY 2001	Variance					
Regular Pay -Cont. Full Time	3,722	3,806	4,560	753					
Additional Gross Pay	32	0	0	0					
Fringe Benefits	476	564	601	37					
Subtotal for: Personal Services (PS)	4,230	4,370	5,161	791					
Supplies and Materials	43	50	50	0					
Telephone, Telegraph, Telegram	71	75	80	5					
Rentals - Land and Structures	376	303	485	182					
Other Services and Charges	2,625	1,994	2,013	19					
Equipment and Equipment Rental	241	35	210	175					
Subtotal for: Nonpersonal Services (NPS)	3,356	2,457	2,838	381					
Total Expenditures:	7,587	6,827	7,999	1,171					
Authorized Spending Levels by Revenue Type:									
	FTEs	Dollars	FTEs	Dollars	FTEs	Dollars	FTEs	Dollars	
Local	67	7,587	60	6,827	69	7,999	9	1,171	
Total:	67	7,587	60	6,827	69	7,999	9	1,171	

Agency Funding Summary

The proposed FY 2001 operating budget *for all funding sources* is \$7,998,642, an increase of \$1,171,381 or 17.2 percent, over the FY 2000 budget. The Office of the Inspector General receives 100 percent of its funding from local sources. There are 69 FTEs supported by this budget.

- **Local.** The proposed *local* budget is \$7,998,642, an increase of \$1,171,381 from the FY 2000 budget. Of this increase, \$790,533 is in personal services, and \$380,848 is in nonpersonal services. There are 69 FTEs funded by local sources.

The change in personal services is comprised of:

- \$296,681 increase for the 6 percent pay raise for non-union employees
- \$493,852 increase for program enhancements in personal services

The change in nonpersonal services is comprised of:

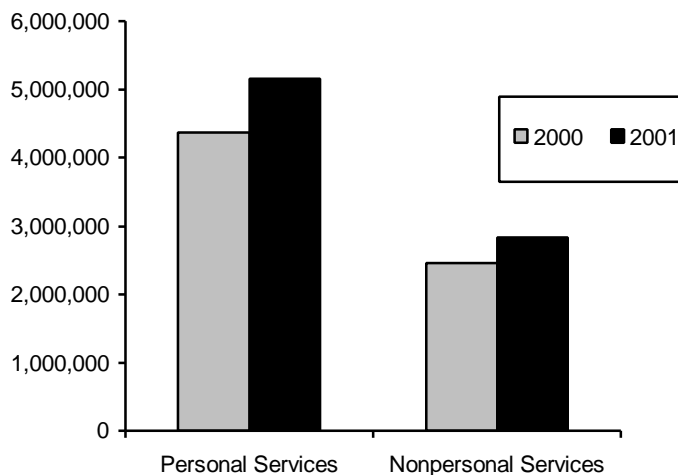
- \$181,789 increase for rent costs based on OPM estimates
- \$18,935 increase for security costs based on OPM estimates
- \$175,000 increase for equipment costs
- \$5,124 increase for telephone costs based on OPM estimates

Figure 1

FY 2001 Proposed Budget Includes an Increase for PS and NPS

Personal Services increased by 18.1 percent, from \$4.4 million in FY 2000 to \$5.2 million in FY 2001.

Nonpersonal services increased by 15.5 percent, from \$2.5 million to \$2.8 million, due to an increase in rent and equipment.



Occupational Classification Codes

Occupational Classification Codes (OCC) are used by federal agencies like the Bureau of Labor and Census Bureau, as a way of classifying workers into eight major occupational categories for the purpose of collecting, calculating, or disseminating data. The Office of the Inspector General workforce is divided among five occupational classification codes.

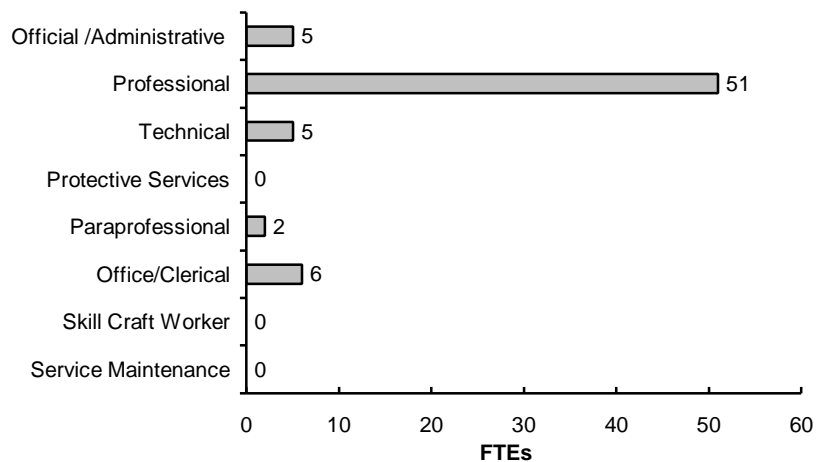
Agency FTEs by Occupational Classification Code

OC Code	FTEs in FY 2001
Official /Administrative	5
Professional	51
Technical	5
Protective Services	0
Paraprofessional	2
Office/Clerical	6
Skill Craft Worker	0
Service Maintenance	0
Total	69

FTE Analysis

Agency FTEs by Occupational Classification Code

The Office of the Inspector General is an administrative agency. Of the total FTEs, 74 percent are Professional.



Performance Measures

Responsibility Center: 1000 – Office of the Inspector General

Performance Measures:

Complaints and Allegations Referred to Investigation Division

Performance Measure	1998 Actual	1999 Actual	2000 Estimate	2001 Projected	2002 Projected
Complaints referred	321	670	680	690	740

Investigations Closed

Performance Measure	1998 Actual	1999 Actual	2000 Estimate	2001 Projected	2002 Projected
Investigations closed	76	188	200	220	220

Investigative Reports Issued

Performance Measure	1998 Actual	1999 Actual	2000 Estimate	2001 Projected	2002 Projected
Investigative Reports Issued	22	26	60	100	100

Audit Reports Issued

Performance Measure	1998 Actual	1999 Actual	2000 Estimate	2001 Projected	2002 Projected
Audit Reports Issued	23	28	28	28	28

Savings Due to Audits

Performance Measure	1998 Actual	1999 Actual	2000 Estimate	2001 Projected	2002 Projected
Millions of Dollars	12	28	35	35	35

Number of Inspections and Evaluations Performed

Performance Measure	1998 Actual	1999 Actual	2000 Estimate	2001 Projected	2002 Projected
Number of Inspections and Evaluations Performed	0	0	4	5	5

Inspections and Evaluations Reports Issued

Performance Measure	1998 Actual	1999 Actual	2000 Estimate	2001 Projected	2002 Projected
Inspection Reports Issued	0	0	4	5	5